

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

## HTX

**SUNBELT SECURITIES, INC.  
2700 POST OAK BLVD. STE 1700  
HOUSTON, TX 77056**

### SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2018

#### Securities Listed on New York Stock Exchange

##### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	82.63%
Limit orders as percentage of total limit orders	15.62%
Other orders as percentage of total other orders	1.75%

##### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. VIRTU Americas LLC	34.16%
2. Citadel Securities LLC	34.05%
3. National Financial Services LLC	11.37%
4. Two Sigma Securities, LLC	4.67%
5. Cboe EDGX U.S. Equities Exchange	3.49%
6. New York Stock Exchange	3.39%
7. NASDAQ Execution Services, LLC	3.00%
8. G1 Execution Services, LLC	2.14%
9. Instinet LLC	0.91%
10. BNY Mellon Capital Markets LLC	0.76%

##### Information Concerning Significant Venues :

###### 1. VIRTU Americas LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	37.71%
Limit orders as percentage of total limit orders	17.03%
Other orders as percentage of total other orders	19.40%

###### 2. Citadel Securities LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	37.05%
Limit orders as percentage of total limit orders	13.69%
Other orders as percentage of total other orders	74.63%

3. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.32%
Limit orders as percentage of total limit orders	2.34%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.05%
Limit orders as percentage of total limit orders	3.17%
Other orders as percentage of total other orders	0.00%

5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	22.37%
Other orders as percentage of total other orders	0.00%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.85%
Limit orders as percentage of total limit orders	17.20%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.20%
Other orders as percentage of total other orders	0.00%

8. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.56%
Limit orders as percentage of total limit orders	0.17%
Other orders as percentage of total other orders	0.00%

9. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.10%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.88%
Limit orders as percentage of total limit orders	0.17%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	77.75%
Limit orders as percentage of total limit orders	18.10%
Other orders as percentage of total other orders	4.15%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	38.04%
2. VIRTU Americas LLC	36.20%
3. Cboe EDGX U.S. Equities Exchange	6.06%
4. National Financial Services LLC	4.39%
5. NASDAQ Execution Services, LLC	4.15%
6. G1 Execution Services, LLC	2.79%
7. Two Sigma Securities, LLC	2.79%
8. UBS Securities, LLC	1.91%
9. Instinet LLC	1.28%
10. Cboe BYX U.S. Equities Exchange	1.20%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.82%
Limit orders as percentage of total limit orders	16.30%
Other orders as percentage of total other orders	80.77%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.77%
Limit orders as percentage of total limit orders	13.22%
Other orders as percentage of total other orders	13.46%

#### 3. Cboe EDGX U.S. Equities Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	33.48%
Other orders as percentage of total other orders	0.00%

#### 4. National Financial Services LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.13%
Limit orders as percentage of total limit orders	2.20%
Other orders as percentage of total other orders	0.00%

5. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.10%
Limit orders as percentage of total limit orders	22.47%
Other orders as percentage of total other orders	0.00%

6. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.49%
Limit orders as percentage of total limit orders	0.44%
Other orders as percentage of total other orders	0.00%

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.49%
Limit orders as percentage of total limit orders	0.44%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.31%
Limit orders as percentage of total limit orders	7.93%
Other orders as percentage of total other orders	5.77%

9. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.64%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.54%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE American or Regional Exchanges

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	88.42%
Limit orders as percentage of total limit orders	9.77%
Other orders as percentage of total other orders	1.81%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	43.91%
2. VIRTU Americas LLC	38.77%
3. Two Sigma Securities, LLC	3.93%
4. G1 Execution Services, LLC	2.92%
5. Instinet LLC	2.22%
6. Cboe BYX U.S. Equities Exchange	1.61%
7. National Financial Services LLC	1.41%
8. BNY Mellon Capital Markets LLC	1.01%
9. NYSE Arca	0.91%
10. Cboe EDGX U.S. Equities Exchange	0.91%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	45.33%
Limit orders as percentage of total limit orders	23.71%
Other orders as percentage of total other orders	83.33%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.89%
Limit orders as percentage of total limit orders	26.80%
Other orders as percentage of total other orders	0.00%

#### 3. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.53%
Limit orders as percentage of total limit orders	5.15%
Other orders as percentage of total other orders	16.67%

#### 4. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.08%
Limit orders as percentage of total limit orders	2.06%
Other orders as percentage of total other orders	0.00%

5. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.16%
Limit orders as percentage of total limit orders	3.09%
Other orders as percentage of total other orders	0.00%

6. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.82%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.48%
Limit orders as percentage of total limit orders	1.03%
Other orders as percentage of total other orders	0.00%

8. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.03%
Limit orders as percentage of total limit orders	1.03%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.28%
Other orders as percentage of total other orders	0.00%

10. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.28%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	17.57%
Limit orders as percentage of total limit orders	82.43%
Other orders as percentage of total other orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. NASDAQ Options Market	24.32%
2. NASDAQ PHLX Options	22.30%
3. Cboe Options Exchange	14.86%
4. Cboe BZX Options Exchange, Inc.	11.49%
5. ISE Gemini Options Exchange	10.81%
6. NYSE Arca Options	5.41%
7. NYSE American Options	3.38%
8. The MIAX Options Exchange	2.70%
9. BOX Option Exchange	1.35%
10. Cboe EDGX Option Exchange	1.35%

### Information Concerning Significant Venues :

#### 1. NASDAQ Options Market

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.85%
Limit orders as percentage of total limit orders	28.69%
Other orders as percentage of total other orders	0.00%

#### 2. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	38.46%
Limit orders as percentage of total limit orders	18.85%
Other orders as percentage of total other orders	0.00%

#### 3. Cboe Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	38.46%
Limit orders as percentage of total limit orders	9.84%
Other orders as percentage of total other orders	0.00%

#### 4. Cboe BZX Options Exchange, Inc.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.93%
Other orders as percentage of total other orders	0.00%

5. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.11%
Other orders as percentage of total other orders	0.00%

6. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	6.56%
Other orders as percentage of total other orders	0.00%

7. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.85%
Limit orders as percentage of total limit orders	3.28%
Other orders as percentage of total other orders	0.00%

8. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.28%
Other orders as percentage of total other orders	0.00%

9. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.69%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.64%
Other orders as percentage of total other orders	0.00%