

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

## HTX

**SUNBELT SECURITIES, INC.  
2700 POST OAK BLVD. STE 1700  
HOUSTON, TX 77056**

### SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2018

#### Securities Listed on New York Stock Exchange

##### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	94.23%
Limit orders as percentage of total limit orders	5.70%
Other orders as percentage of total other orders	0.07%

##### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. VIRTU Americas LLC	38.62%
2. Citadel Securities LLC	35.98%
3. National Financial Services LLC	9.14%
4. Two Sigma Securities, LLC	4.38%
5. G1 Execution Services, LLC	3.90%
6. New York Stock Exchange	1.65%
7. Cboe BYX U.S. Equities Exchange	1.52%
8. Cboe EDGX U.S. Equities Exchange	1.35%
9. Instinet LLC	1.18%
10. NASDAQ Execution Services, LLC	1.07%

##### Information Concerning Significant Venues :

###### 1. VIRTU Americas LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.45%
Limit orders as percentage of total limit orders	8.40%
Other orders as percentage of total other orders	33.33%

###### 2. Citadel Securities LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	37.49%
Limit orders as percentage of total limit orders	10.69%
Other orders as percentage of total other orders	66.67%

3. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.66%
Limit orders as percentage of total limit orders	0.76%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.57%
Limit orders as percentage of total limit orders	1.15%
Other orders as percentage of total other orders	0.00%

5. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.07%
Limit orders as percentage of total limit orders	1.15%
Other orders as percentage of total other orders	0.00%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.05%
Limit orders as percentage of total limit orders	28.24%
Other orders as percentage of total other orders	0.00%

7. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.62%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	23.66%
Other orders as percentage of total other orders	0.00%

9. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.22%
Limit orders as percentage of total limit orders	0.38%
Other orders as percentage of total other orders	0.00%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	18.70%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	82.62%
Limit orders as percentage of total limit orders	15.16%
Other orders as percentage of total other orders	2.21%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	39.34%
2. VIRTU Americas LLC	35.25%
3. Cboe EDGX U.S. Equities Exchange	5.00%
4. National Financial Services LLC	4.84%
5. Two Sigma Securities, LLC	3.77%
6. NASDAQ Execution Services, LLC	3.61%
7. G1 Execution Services, LLC	3.52%
8. Instinet LLC	1.48%
9. Cboe BZX U.S. Equities Exchange	1.39%
10. Cboe BYX U.S. Equities Exchange	1.15%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.26%
Limit orders as percentage of total limit orders	16.76%
Other orders as percentage of total other orders	85.19%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.38%
Limit orders as percentage of total limit orders	11.89%
Other orders as percentage of total other orders	3.70%

#### 3. Cboe EDGX U.S. Equities Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	32.97%
Other orders as percentage of total other orders	0.00%

#### 4. National Financial Services LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.46%
Limit orders as percentage of total limit orders	2.16%
Other orders as percentage of total other orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.56%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	23.78%
Other orders as percentage of total other orders	0.00%

7. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.97%
Limit orders as percentage of total limit orders	1.62%
Other orders as percentage of total other orders	0.00%

8. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.69%
Limit orders as percentage of total limit orders	0.54%
Other orders as percentage of total other orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.19%
Other orders as percentage of total other orders	0.00%

10. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.39%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE American or Regional Exchanges

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	92.58%
Limit orders as percentage of total limit orders	4.49%
Other orders as percentage of total other orders	2.92%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	44.38%
2. VIRTU Americas LLC	37.08%
3. G1 Execution Services, LLC	4.27%
4. Two Sigma Securities, LLC	4.16%
5. Cboe BYX U.S. Equities Exchange	3.48%
6. Cboe EDGX U.S. Equities Exchange	1.24%
7. UBS Securities, LLC	1.12%
8. National Financial Services LLC	1.01%
9. New York Stock Exchange	0.90%
10. Instinet LLC	0.79%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	44.78%
Limit orders as percentage of total limit orders	7.50%
Other orders as percentage of total other orders	88.46%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	39.32%
Limit orders as percentage of total limit orders	15.00%
Other orders as percentage of total other orders	0.00%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.61%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.49%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.76%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	27.50%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.73%
Limit orders as percentage of total limit orders	2.50%
Other orders as percentage of total other orders	11.54%

8. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.85%
Limit orders as percentage of total limit orders	5.00%
Other orders as percentage of total other orders	0.00%

9. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.00%
Other orders as percentage of total other orders	0.00%

10. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.85%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	16.79%
Limit orders as percentage of total limit orders	83.21%
Other orders as percentage of total other orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. ISE Gemini Options Exchange	19.71%
2. NASDAQ Options Market	18.98%
3. NASDAQ PHLX Options	10.95%
4. NYSE Arca Options	9.49%
5. Cboe BZX Options Exchange, Inc.	9.49%
6. Cboe Options Exchange	8.03%
7. NYSE American Options	7.30%
8. BOX Option Exchange	7.30%
9. The MIAX Options Exchange	6.57%
10. Cboe EDGX Option Exchange	2.19%

### Information Concerning Significant Venues :

#### 1. ISE Gemini Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	23.68%
Other orders as percentage of total other orders	0.00%

#### 2. NASDAQ Options Market

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	22.81%
Other orders as percentage of total other orders	0.00%

#### 3. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.78%
Limit orders as percentage of total limit orders	6.14%
Other orders as percentage of total other orders	0.00%

#### 4. NYSE Arca Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.35%
Limit orders as percentage of total limit orders	10.53%
Other orders as percentage of total other orders	0.00%

5. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.40%
Other orders as percentage of total other orders	0.00%

6. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.43%
Limit orders as percentage of total limit orders	3.51%
Other orders as percentage of total other orders	0.00%

7. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.04%
Limit orders as percentage of total limit orders	6.14%
Other orders as percentage of total other orders	0.00%

8. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.70%
Limit orders as percentage of total limit orders	7.02%
Other orders as percentage of total other orders	0.00%

9. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.70%
Limit orders as percentage of total limit orders	6.14%
Other orders as percentage of total other orders	0.00%

10. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.63%
Other orders as percentage of total other orders	0.00%