

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

## HTX

**SUNBELT SECURITIES, INC.  
2700 POST OAK BLVD. STE 1700  
HOUSTON, TX 77056**

### SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2018

#### Securities Listed on New York Stock Exchange

##### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	87.95%
Limit orders as percentage of total limit orders	11.97%
Other orders as percentage of total other orders	0.07%

##### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	45.25%
2. G1 Execution Services, LLC	16.90%
3. VIRTU Americas LLC	14.31%
4. National Financial Services LLC	7.42%
5. Two Sigma Securities, LLC	6.40%
6. New York Stock Exchange	5.33%
7. Cboe EDGX U.S. Equities Exchange	2.22%
8. NASDAQ Execution Services, LLC	1.14%
9. UBS Securities, LLC	0.75%
10. Cboe BZX U.S. Equities Exchange	0.25%

##### Information Concerning Significant Venues :

###### 1. Citadel Securities LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	49.58%
Limit orders as percentage of total limit orders	13.10%
Other orders as percentage of total other orders	100.00%

###### 2. G1 Execution Services, LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.65%
Limit orders as percentage of total limit orders	4.16%
Other orders as percentage of total other orders	0.00%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.73%
Limit orders as percentage of total limit orders	3.95%
Other orders as percentage of total other orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.21%
Limit orders as percentage of total limit orders	1.66%
Other orders as percentage of total other orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.02%
Limit orders as percentage of total limit orders	1.87%
Other orders as percentage of total other orders	0.00%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	44.49%
Other orders as percentage of total other orders	0.00%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	18.50%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.56%
Other orders as percentage of total other orders	0.00%

9. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.79%
Limit orders as percentage of total limit orders	0.42%
Other orders as percentage of total other orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.08%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	77.99%
Limit orders as percentage of total limit orders	21.54%
Other orders as percentage of total other orders	0.46%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	42.33%
2. VIRTU Americas LLC	16.72%
3. G1 Execution Services, LLC	12.83%
4. NASDAQ Execution Services, LLC	11.67%
5. National Financial Services LLC	7.03%
6. Two Sigma Securities, LLC	5.40%
7. Cboe EDGX U.S. Equities Exchange	3.25%
8. UBS Securities, LLC	0.41%
9. Cboe BZX U.S. Equities Exchange	0.29%
10. State Street Global Markets, LLC	0.06%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	50.19%
Limit orders as percentage of total limit orders	13.21%
Other orders as percentage of total other orders	75.00%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.87%
Limit orders as percentage of total limit orders	12.40%
Other orders as percentage of total other orders	25.00%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.79%
Limit orders as percentage of total limit orders	2.43%
Other orders as percentage of total other orders	0.00%

#### 4. NASDAQ Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	54.18%
Other orders as percentage of total other orders	0.00%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.94%
Limit orders as percentage of total limit orders	0.27%
Other orders as percentage of total other orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.78%
Limit orders as percentage of total limit orders	0.54%
Other orders as percentage of total other orders	0.00%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.09%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.45%
Limit orders as percentage of total limit orders	0.27%
Other orders as percentage of total other orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.35%
Other orders as percentage of total other orders	0.00%

10. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.27%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE American or Regional Exchanges

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	95.74%
Limit orders as percentage of total limit orders	3.50%
Other orders as percentage of total other orders	0.76%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	57.01%
2. VIRTU Americas LLC	17.14%
3. G1 Execution Services, LLC	16.38%
4. Two Sigma Securities, LLC	5.40%
5. NYSE Arca	1.42%
6. National Financial Services LLC	1.23%
7. Cboe EDGX U.S. Equities Exchange	0.66%
8. UBS Securities, LLC	0.57%
9. NASDAQ Execution Services, LLC	0.19%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	58.65%
Limit orders as percentage of total limit orders	16.22%
Other orders as percentage of total other orders	37.50%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.11%
Limit orders as percentage of total limit orders	8.11%
Other orders as percentage of total other orders	62.50%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.01%
Limit orders as percentage of total limit orders	2.70%
Other orders as percentage of total other orders	0.00%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.44%
Limit orders as percentage of total limit orders	5.41%
Other orders as percentage of total other orders	0.00%

5. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	40.54%
Other orders as percentage of total other orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.29%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	18.92%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.49%
Limit orders as percentage of total limit orders	2.70%
Other orders as percentage of total other orders	0.00%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.41%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	22.22%
Limit orders as percentage of total limit orders	75.76%
Other orders as percentage of total other orders	2.02%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. NASDAQ Options Market	25.25%
2. ISE Gemini Options Exchange	15.15%
3. NASDAQ PHLX Options	15.15%
4. Cboe Options Exchange	14.14%
5. Cboe BZX Options Exchange, Inc.	12.12%
6. NYSE Arca Options	5.05%
7. BOX Option Exchange	5.05%
8. Cboe EDGX Option Exchange	4.04%
9. The MIAX Options Exchange	2.02%
10. NYSE American Options	1.01%

### Information Concerning Significant Venues :

#### 1. NASDAQ Options Market

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	33.33%
Other orders as percentage of total other orders	0.00%

#### 2. ISE Gemini Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.00%
Other orders as percentage of total other orders	0.00%

#### 3. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.82%
Limit orders as percentage of total limit orders	8.00%
Other orders as percentage of total other orders	100.00%

#### 4. Cboe Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	50.00%
Limit orders as percentage of total limit orders	4.00%
Other orders as percentage of total other orders	0.00%

5. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	16.00%
Other orders as percentage of total other orders	0.00%

6. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	6.67%
Other orders as percentage of total other orders	0.00%

7. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.64%
Limit orders as percentage of total limit orders	2.67%
Other orders as percentage of total other orders	0.00%

8. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.33%
Other orders as percentage of total other orders	0.00%

9. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.67%
Other orders as percentage of total other orders	0.00%

10. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.33%
Other orders as percentage of total other orders	0.00%