

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

HTX

**SUNBELT SECURITIES, INC.
2700 POST OAK BLVD. STE 1700
HOUSTON, TX 77056**

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	83.71%
Limit orders as percentage of total limit orders	15.79%
Other orders as percentage of total other orders	0.51%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	46.10%
2. G1 Execution Services, LLC	14.27%
3. VIRTU Americas LLC	14.27%
4. National Financial Services LLC	7.55%
5. New York Stock Exchange	6.98%
6. Two Sigma Securities, LLC	3.76%
7. NASDAQ Execution Services, LLC	3.73%
8. UBS Securities, LLC	1.71%
9. Cboe EDGX U.S. Equities Exchange	1.39%
10. Cboe BZX U.S. Equities Exchange	0.19%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	53.07%
Limit orders as percentage of total limit orders	9.80%
Other orders as percentage of total other orders	25.00%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.30%
Limit orders as percentage of total limit orders	3.00%
Other orders as percentage of total other orders	31.25%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.65%
Limit orders as percentage of total limit orders	6.40%
Other orders as percentage of total other orders	31.25%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.79%
Limit orders as percentage of total limit orders	1.20%
Other orders as percentage of total other orders	0.00%

5. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	44.20%
Other orders as percentage of total other orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.26%
Limit orders as percentage of total limit orders	1.00%
Other orders as percentage of total other orders	6.25%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	23.60%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.85%
Limit orders as percentage of total limit orders	0.80%
Other orders as percentage of total other orders	6.25%

9. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.80%
Other orders as percentage of total other orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.20%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	80.96%
Limit orders as percentage of total limit orders	18.96%
Other orders as percentage of total other orders	0.08%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	48.96%
2. G1 Execution Services, LLC	13.04%
3. VIRTU Americas LLC	12.88%
4. NASDAQ Execution Services, LLC	9.92%
5. Cboe EDGX U.S. Equities Exchange	4.72%
6. Two Sigma Securities, LLC	4.56%
7. National Financial Services LLC	4.32%
8. UBS Securities, LLC	1.28%
9. Cboe BZX U.S. Equities Exchange	0.24%
10. State Street Global Markets, LLC	0.08%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	57.11%
Limit orders as percentage of total limit orders	13.92%
Other orders as percentage of total other orders	100.00%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.32%
Limit orders as percentage of total limit orders	3.38%
Other orders as percentage of total other orders	0.00%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.22%
Limit orders as percentage of total limit orders	2.95%
Other orders as percentage of total other orders	0.00%

4. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	52.32%
Other orders as percentage of total other orders	0.00%

5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	24.89%
Other orders as percentage of total other orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.43%
Limit orders as percentage of total limit orders	0.84%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.24%
Limit orders as percentage of total limit orders	0.42%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.58%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.27%
Other orders as percentage of total other orders	0.00%

10. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.10%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	97.55%
Limit orders as percentage of total limit orders	2.45%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	61.66%
2. G1 Execution Services, LLC	15.62%
3. VIRTU Americas LLC	13.87%
4. Two Sigma Securities, LLC	5.13%
5. National Financial Services LLC	1.40%
6. UBS Securities, LLC	1.28%
7. NYSE Arca	0.70%
8. NASDAQ Execution Services, LLC	0.23%
9. Cboe BZX U.S. Equities Exchange	0.12%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	62.25%
Limit orders as percentage of total limit orders	38.10%
Other orders as percentage of total other orders	0.00%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.89%
Limit orders as percentage of total limit orders	4.76%
Other orders as percentage of total other orders	0.00%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.86%
Limit orders as percentage of total limit orders	14.29%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.26%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.43%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.31%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	28.57%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.52%
Other orders as percentage of total other orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.76%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	29.75%
Limit orders as percentage of total limit orders	65.82%
Other orders as percentage of total other orders	4.43%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	32.28%
2. NASDAQ Options Market	15.19%
3. NASDAQ PHLX Options	12.66%
4. ISE Gemini Options Exchange	12.03%
5. Cboe BZX Options Exchange, Inc.	5.70%
6. NYSE American Options	5.06%
7. Cboe EDGX Option Exchange	5.06%
8. BOX Option Exchange	4.43%
9. NYSE Arca Options	3.80%
10. The MIAX Options Exchange	1.90%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	44.68%
Limit orders as percentage of total limit orders	26.92%
Other orders as percentage of total other orders	28.57%

2. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	23.08%
Other orders as percentage of total other orders	0.00%

3. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.53%
Limit orders as percentage of total limit orders	5.77%
Other orders as percentage of total other orders	28.57%

4. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	18.27%
Other orders as percentage of total other orders	0.00%

5. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.65%
Other orders as percentage of total other orders	0.00%

6. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.26%
Limit orders as percentage of total limit orders	2.88%
Other orders as percentage of total other orders	42.86%

7. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.13%
Limit orders as percentage of total limit orders	6.73%
Other orders as percentage of total other orders	0.00%

8. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.77%
Limit orders as percentage of total limit orders	0.96%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.77%
Other orders as percentage of total other orders	0.00%

10. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.38%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%